**SEED Educate! Econ Module Phone Survey**

|  |  |  |  |
| --- | --- | --- | --- |
| ver\_name | 1. What is your full name? |  |  |
| ver\_school  pull\_date | 1. Did you attend [SCHOOL NAME] secondary school on [DATE]? | YES  NO  REFUSE TO ANSWER |  |
| resp\_confirm | FO: (DO NOT READ ALOUD) Is this the correct respondent? | YES  NO |  |
| wrong\_resp | FO: (DO NOT READ ALOUD) [ENUM], why do you think the person you are calling is not the correct respondent?  FO: Call your Team Leader |  |  |

Consent:

Hello [RESPONDENT NAME]. My name is [ENUMERATOR NAME]. I work with a research organization called Innovations for Poverty Action. In [DATE] you expressed interest in participating in an entrepreneurship training program called, [PROGRAM],

We are conducting a survey to better understand how the lives of youth who expressed interest in the [PROGRAM] program have changed. The study involves over 6,000 Ugandan youths such as yourself and will help us understand how to better design policy programs to improve the lives of youths in Uganda.

Today, we want to collect some information about your economic situation (the jobs and businesses you have had in the past 12 months) as well as contact information from you and a few family members/friends so that we can more easily reach you when it is time to conduct future surveys. We would be very thankful if you could be one of the voices who help us understand economic situations of young people in Uganda.

Participation is completely voluntary. This conversation will last approximately 30 minutes. If you agree to participate in today’s survey, we would like to send you 5,000 UGX via mobile money to thank you for your participation.

You are free to decline to participate, to end participation at any time for any reason, and you can refuse to answer any individual question. Refusal to participate will not result in any penalty or loss of existing benefits. This said, we would be extremely grateful for your participation in this study, which is carried out by Paul Gertler and Laura Chioda in the US from the University of California, Berkeley.

All the information that you provide will be strictly confidential. Answers will be coded and stored on a secured server, and only the researchers involved in this study will have access to the information you provide. We may contact you again to conduct similar surveys. Your participation in all future surveys will always be voluntary.

You can ask me any questions that you have about the study. If you have a question later, or if you have concerns or complaints about this interview, please contact Anthony Kamwesigye, Research Associate, Innovations for Poverty Action, Phone +256779926664. Alternatively, please contact the Uganda National Council for Science and Technology at +256 414705513 with further inquiries.',

Are you available to answer the survey?

Yes

No

**DEMOGRAPHICS**

1. What is your highest level of education? (HINT: Diploma/Certificate are typically less than 2 years, if anything 3 or 4 years, then categorise under bachelor's degree)

POSTGRADUATE DEGREE COMPLETE (e.g. PGD: Post Graduate Diploma, Masters, PhDs)

SOME POSTGRADUATE COURSEWORK (towards a postgraduate degree but INCOMPLETE)

BACHELORS/UNIVERSITY DEGREE COMPLETE (towards a bachelor/university degree but INCOMPLETE)

SOME UNIVERSITY COURSEWORK

VOCATIONAL/COLLEGE DIPLOMA COMPLETE

SOME VOCATIONAL /COLLEGE COURSEWORK (towards a diploma/certificate but INCOMPLETE)

SECONDARY SCHOOL COMPLETE

SOME SECONDARY SCHOOL (INCOMPLETE)

1. If education=Postgraduate, University, or College

What was your major/area of focus?

BUSINESS ADMINISTRATION / MANAGEMENT

EDUCATION

SOCIAL WORK AND SOCIAL ADMIN

HEALTH / HEALTH MANAGEMENT / NURSING

AG / FISHERY

PUBLIC ADMIN

HUMAN RESOURCE MANAGEMENT (HR)

OTHER, SPECIFY

REFUSE TO ANSWER

1. What is your relationship status? (HINT/NOTE: Being married is considered as being in a relationship for the purpose of this survey)

SINGLE

IN A RELATIONSHIP

REFUSE TO ANSWER

1. Do you live with your partner/spouse?

YES, ALL THE TIME

YES, INTERMITTENTLY

NO

REFUSE TO ANSWER

**ECONOMIC ROSTER**

**Current activities section**

Now, I would like to ask you about your economic activities. These include your current jobs and businesses that you own.

Do you have at least one job OR business that you own, including those that are temporarily paused? These may include farming activities that generate income/earnings.

YES🡪 Continue

NO🡪

REFUSE TO ANSWER 🡪 Skip Current Activity Repeat Group

if yes --: Could you list your jobs and businesses? We are interested in your jobs or businesses with the highest earnings first.

FO: Ask the respondent about each job that they have and business that they own. Ask only about 3 activities maximum.

*BEGIN CURRENT ACTIVITY REPEAT GROUP*

1. What is the name of the […/second/third] job or business?

FO: If farming, leave blank if there is no farm name.

1. Do you or your family own this [CURRENT ACTIVITY NAME] farm or business? Or do you work on someone else’s farm or business?

OWN/FAMILY FARM OR BUSINESS

SOMEONE ELSE’S FARM OR BUSINESS

REFUSE TO ANSWER

**ER3b. Did you have positive or zero revenues in the last month? *Did the business generate revenue in the last month? That is, money coming into the business by selling products or services.***

**0 Zero Revenues / No, business did not generate revenue in the last month**

**1 Positive Revenues / Yes, business generated revenue in the last month**

**-888 Refuse to Answer**

**ER3c. Why did you have zero revenues in the last month? / Why did the business not generate revenue in the last month?**

|  |  |
| --- | --- |
| 1 | This business is seasonal.  There are some periods in a year that your farm/business earns revenues and others when it does not. |
| 2 | This business has been closed. |
| 3 | This business had zero revenue for reasons other than seasonality. |
| -222 | Other, Specify |
| -888 | Refuse to Answer |

1. Do you have another job or business?

YES🡪 Repeat loop

NO 🡪 Exit loop

REFUSE TO ANSWER 🡪 Exit loop

*END CURRENT ACTIVITY REPEAT GROUP*

If WORKING FOR SOMEONE ELSE

Now I would like to ask you a few questions about your work at [ACTIVITY NAME] farm/business.

If SELF EMPLOYED

Now I would like to ask you a few questions about your [ACTIVITY NAME] farm/business.

**WORKING FOR SOMEONE ELSE:**

1. What type of [farm/business] is/was this?

[SECTOR LIST]

Administrative work (for example accountant, clerk, office worker)

Food Production (for example growing and harvesting crops, livestock rearing, fishing, bee-keeping)

General services (for example guard, barber, driver/taxi, domestic worker, fundi)

Financial Services(for example money lender, broker)

Government/Civil Service (for example policeman, soldier, politician))

Manufacturing (both large scale and home-scale)

Skilled Construction/Repair Services (for example phone/computer repair, plumber, electrician)

Retail (for example sales, marketing, attendant, cashier**,** shop manager, roadside vendors)

Skilled Services – arts field (for example lawyer, pastor, artist, journalist)

Skilled Services – science field (for example doctor, nurse, chemist, engineer, veterinarian)

Tourism/Hospitality (for example hotel worker, tour guide, souvenir vendor, event planner)

Food Service (for example baker, waiter, cook, restaurants)

Public Education (for example teacher, school bus driver, school administrator)

Private Education (for example teacher, school bus driver, school administrator)

Other, specify

1. If Food Production[SELECT MULTIPLE] What type of food production activity does/did your job relate to?

SEASONAL CROPS

NON-SEASONAL CROPS

LIVESTOCK REARING

FISHING

BEE-KEEPING

OTHER, SPECIFY

REFUSE TO ANSWER

PROGRAMMING NOTE: Each activity is one of the following activity types and will cause one of the three modules to load:

activity\_seasonal\_crops

activity\_seasonal\_not\_crops

activity\_non\_seasonal

PROGRAMMING NOTE:

If an activity has both seasonal\_crops and also other food production, it is counted as seasonal\_crops (though the off season section goes through the same crops repeat group, questions about average month during off season are asked rather than full season). If an activity has food production such as livestock that isn’t seasonal crops and no seasonal crops, it is counted as non-seasonal and the non-seasonal module is loaded.

ANALYSIS NOTE:

To see how many farms have both seasonal\_crops and non-seasonal elements as well, check the variables crops\_plus\_fp\_wc\_cnt and crops\_plus\_fp\_sc\_cnt which is based on activity\_crops\_plus\_fp. These are a subset of wage\_worker\_wc\_cnt and self\_employed\_sc\_cnt,

If SECTOR NOT=Food Production

1. Is/was your [ACTIVITY NAME] work seasonal? In other words, are/were there some periods in a year that you earn/earned more than others?

YES, THIS ACTIVITY IS/WAS SEASONAL

NO, THIS ACTIVITY IS/WAS NOT SEASONAL

REFUSE TO ANSWER

1. We are interested in the type of seasonality of your **[ACTIVITY NAME]** job/business. If you earn/earned some money during the whole year but the amount varies/varied a lot throughout the year I will select “high season/low season”, whereas if there are/were months where your earnings are/were zero, I will select “active season/inactive season”.

Are there high and low seasons with more or less activity or do you have periods of relatively similar activity followed by inactive periods?

|  |  |
| --- | --- |
| 1 | High Season / Low Season |
| 2 | Active Season / Inactive Season |
| -888 | Refuse to Answer |

PROGRAMMING NOTE: Hint if Seasonal; Don’t Know if Works for Someone Else

1. Has this/your farm/business been temporarily shut down within the last 12 months?

HINT: Shut downs are closures during typically active periods. Do not include typical inactive seasons.

|  |  |
| --- | --- |
| 0 | NO |
| 1 | YES |
| -999 | Don’t Know |
| -888 | Refuse to Answer |

PROGRAMMING NOTE: Don’t Know if Works for Someone Else

If **AR5**=YES

1. How long was this farm/business shut down during the last 12 months?

|  |  |
| --- | --- |
|  | Weeks |
|  | Months |
| -999 | Don’t Know |
| -888 | Refuse to Answer |

PROGRAMMING NOTE: Don’t Know if Works for Someone Else

Now I would like to ask you some questions about your **[ACTIVITY NAME]** job.

**WORK SEASONAL CROPS MODULE**

*SEASON REPEAT (first growing season of 2021, second growing season of 2020, off season)*

**READ ALOUD:** If Respondent selected Seasonal Crops and any other Food Production Type AND Season=Off Season:

Thank you for sharing information about your work at **[ACTIVITY NAME]** farmduring the previous two crop seasons. These next few questions, I would like to ask you about your **[FOOD PRODUCTION TYPE]** work at **[ACTIVITY NAME]** farm during off season, that is when seasonal crops were not being grown. For these questions, we are interested in your **[FOOD PRODUCTION TYPE]** work.

1. *Did you work at this farm during the [SEASON]?*

|  |  |
| --- | --- |
| 0 | NO |
| 1 | YES |
| -888 | Refuse to Answer |

The next questions I will ask you about your work at this **[ACTIVITY NAME]** farm during **[SEASON]**.

1. How many months were you working at this farm in the **[SEASON]**?

FO: Off season should represent months not spent growing crops in the past 12 months. Remember that number of months worked in all seasons cannot be greater than 12.

|  |  |
| --- | --- |
|  | MONTH |
|  | YEAR |
| -888 | Refuse to Answer |

1. ~~When did you finish working at this farm in the~~ **~~[SEASON]~~**~~?~~

|  |  |
| --- | --- |
|  | ~~MONTH~~ |
|  | ~~YEAR~~ |
| ~~-888~~ | ~~Refuse to Answer~~ |

1. On average, how much did you earn per week at this farm during **[SEASON]**?

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to answer |

1. Which of the following best describes how you get/got paid at **[ACTIVITY NAME]** during **[SEASON]**? (READ OUT LOUD)

|  |  |
| --- | --- |
|  | 1. Hour |
|  | 1. Day |
|  | 1. Week |
|  | 1. Month |
|  | 1. Contract payment |
|  | Commission |
|  | Season |
|  | 666 Other, specify |

If **WC5**  = Days

**WC5b.** On average, how many days days per week [DO/DID] you work?

|  |  |
| --- | --- |
|  | Integer =>0 and <=7 |
| 888 | Refuse to answer |

If **WC5**= “Contract payment” or “Commission” , continue, otherwise skip to **WC7**

1. How long do/did you take to complete the work during **[SEASON]**?

|  |  |  |
| --- | --- | --- |
|  |  | Hours |
|  |  | Days |
|  |  | Weeks |
|  |  | Months |

If **WC5**  NOT per Week

1. How much do/did you take home per [answer from **WC5**] on average during **[SEASON]**?

|  |  |
| --- | --- |
|  | USH |
| 888 | Refuse to answer |

1. In total, how much did you earn at this farm during the entire **[SEASON]**?

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to answer |

**WORK SEASONAL NOT CROPS MODULE**

If AR4=1

1. In an average week during **[SEASON],** how much do you earn at this farm/business?

|  |  |
| --- | --- |
|  | USH |
| 888 | Refuse to answer |

1. Which of the following best describes how you got paid at **[ACTIVITY NAME]** during **[SEASON]**? (READ OUT LOUD)

|  |  |
| --- | --- |
|  | 1. Hour |
|  | 1. Day |
|  | 1. Week |
|  | 1. Month |
|  | 1. Contract payment |
|  | Commission |
|  | Season |
|  | 666 Other, specify |

If **WS2**  = Days

**WS2b.** On average, how many days days per week [DO/DID] you work?

|  |  |
| --- | --- |
|  | Integer =>0 and <=7 |
| 888 | Refuse to answer |

If **WS2**= “Contract payment” or “Commission” , continue, otherwise skip to **WS4**

1. How long did you take to complete the work?

|  |  |  |
| --- | --- | --- |
|  |  | Hours |
|  |  | Days |
|  |  | Weeks |
|  |  | Months |

If **WS2** NOT per Week

1. How much did you earn per [answer from **WS2**] on average?

|  |  |
| --- | --- |
|  | USH |
| 888 | Refuse to answer |

1. How many months did you work in **[SEASON]** in the last 12 months?

FO: Remember that number of months worked in all seasons cannot be greater than 12.

|  |  |
| --- | --- |
|  | MONTHS |
| -888 | Refuse to Answer |

[If Sector==Education]

**WS5b.** How many months were you paid in [SEASON] in the last 12 months?

1. ~~How many months did you work in the most recent completed~~ **~~[SEASON]~~**~~?~~

|  |  |
| --- | --- |
|  | ~~MONTHS~~ |
| ~~-888~~ | ~~Refuse to Answer~~ |

1. ~~In total, in the last completed~~ **~~[SEASON]~~**~~, how much did you earn at this farm/business?~~

|  |  |
| --- | --- |
|  | ~~USH~~ |
| ~~888~~ | ~~Refuse to answer~~ |

**WORK NOT SEASONAL MODULE**

WN0. DO NOT READ: Will the respondent answer when they started their [ACTIVITY NAME] job?

|  |  |
| --- | --- |
| 1 | Yes |
| 0 | No |
| -888 | Refuse to answer |

If WN0 = 1

WN0. When did you start your $[ACTIVITY NAME] job?

|  |  |
| --- | --- |
|  | Date |
| 888 | Refuse to answer |

If AR6>0 and WN0< 12 Months

WN0b. You just told me that this [ACTIVITY NAME] business was shut down for [SHUTDOWN DURATION] months during the last 12 months. How many of these months during shutdown happened before you were employed at this [ACTIVITY NAME] job?

TIME PERIOD:

If CURRENT ACTIVITY= “the last 7 days”

If PREVIOUS ACTIVITY= “an average week”

1. How much money did you earn in **[TIME PERIOD]**?

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to answer |

If CURRENT ACTIVITY

1. Would you say that this amount was the usual amount that you earn in **7 days**?

|  |  |
| --- | --- |
| 1 | Yes |
| 0 | No |
| -888 | Refuse to answer |

If **WN3**=No

1. In [TIME PERIOD], how much do you usually earn?

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to answer |

1. Which of the following best describes how you get/got paid at **[ACTIVITY NAME]**?

FO: READ ANSWERS OUT LOUD

|  |  |
| --- | --- |
| 1 | Hour |
| 2 | Day |
| 3 | Week |
| 4 | Month |
| 5 | Contract payment |
| 6 | Commission |
| 7 | Season |
| -222 | Other, specify |
| -888 | Refuse to Answer |

If **WN4**  = Days

**WN4b.** On average, how many days days per week [DO/DID] you work?

|  |  |
| --- | --- |
|  | Integer =>0 and <=7 |
| 888 | Refuse to answer |

If **WN5**= “Contract payment” or “Commission” , continue, otherwise skip to **WN7**

1. How long do/did you take to complete the work?

|  |  |
| --- | --- |
|  | Hours |
|  | Days |
|  | Weeks |
|  | Months |

If **WN5** NOT per Week

1. How much do/did you earn per [answer from **WN5**] on average?

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to answer |

**ALL WAGE WORKERS**

I’m going to read a list of benefits some persons may receive through work. Please tell me if you receive/received or are/were entitled to any of them at **[ACTIVITY NAME]** during the last 12 months.

PROGRAMMING NOTE: If Crops, do SEASON1, SEASON2. If Crops AND other Food Production, do SEASON1, SEASON2, and OFF SEASON (period without seasonal crops but other food production activities like livestock). Need terminology from local staff here for OFF SEASON.

|  |  |  |  |
| --- | --- | --- | --- |
|  | [BENEFIT NAME]?  0 No  1 Yes  -888 Refuse to Answer | 1. How often do you/did you receive [BENEFIT NAME] at your [ACTIVITY NAME] job?   1 Daily  2 Weekly  3 Monthly  4 Twice a year  5 Annually  6 I have not received/made use of it  -888 Refuse to Answer | 1. What is approximately the amount received from […] [**WA2**]   USH  -555 Not applicable  -888 Refuse to Answer |
| 1. Meals or meal allowances (including drinks) |  |  |  |
| 1. Bonuses or rewards for good performance |  |  |  |
| 1. Transportation or transportation allowances |  |  |  |
|  |  |  |  |

1. Do/did your job responsibilities include any of the following? (READ OUT LOUD. MARK ALL THAT APPLY)

|  |  |
| --- | --- |
| 1 | Managing inventory (ie purchasing, stocking, etc) |
| 2 | Managing people |
| 3 | Marketing |
| 4 | Cost and Record Keeping/Accounting |
| 5 | Interacting with people outside of the business including sales and handling money |
| 6 | Financial Decision-Making |
| -555 | None of the Above |
| -888 | Refuse to Answer |

**SELF-EMPLOYMENT**

PROGRAMMING NOTE: Drop activities that earned zero revenues but were not seasonal. ER3c !=1 AND ER3c != null

AR0. Job/Business description in 5-10 words

1. What type of farm/business is/was this?

[SECTOR LIST]

Administrative work (for example accountant, clerk, office worker)

Food Production (for example growing and harvesting crops, livestock rearing, fishing, bee-keeping)

General services (for example guard, barber, driver/taxi, domestic worker, fundi)

Financial Services(for example incl. money lender, broker)

Government/Civil Service (for example policeman, soldier, politician))

Manufacturing (both large scale and home-scale)

Skilled Construction/Repair Services (for example phone/computer repair, plumber, electrician)

Retail (for example sales, marketing, attendant, cashier**,** shop manager, roadside vendors)

Skilled Services (for example lawyer, pastor, artist, journalist)

Skilled Services (for example doctor, nurse, chemist, engineer, veterinarian)

Tourism/Hospitality (for example hotel worker, tour guide, souvenir vendor, event planner)

Food Service (for example baker, waiter, cook, restaurants)

Public Education (for example teacher, school bus driver, school administrator)

Private Education (for example teacher, school bus driver, school administrator)

Other, specify

Refuse to Answer

PROGRAMMING NOTE: Each activity is one of the following activity types and will cause one of the three modules to load:

activity\_seasonal\_crops

activity\_seasonal\_not\_crops

activity\_non\_seasonal

PROGRAMMING NOTE:

If an activity has both seasonal\_crops and also other food production, it is counted as seasonal\_crops (though the off season section goes through the same crops repeat group, questions about average month during off season are asked rather than full season). If an activity has food production such as livestock that isn’t seasonal crops and no seasonal crops, it is counted as non-seasonal and the non-seasonal module is loaded.

ANALYSIS NOTE:

To see how many farms have both seasonal\_crops and non-seasonal elements as well, check the variables crops\_plus\_fp\_wc\_cnt and crops\_plus\_fp\_sc\_cnt which is based on activity\_crops\_plus\_fp. These are a subset of wage\_worker\_wc\_cnt and self\_employed\_sc\_cnt,

1. If Food Production[SELECT MULTIPLE] Which type of food product does your business produce?

GROWING AND HARVESTING CROPS

LIVESTOCK REARING

FISHING

BEE-KEEPING

OTHER, SPECIFY

REFUSE TO ANSWER

PROGRAMMING NOTE: If ER3c. = 1 (Seasonal business with no revenues), the answer choices will be constrained to “YES, THIS ACTIVITY IS SEASONAL” only and it will default to filled out so the FO can simply swipe forward.

If SECTOR NOT=Food Production

1. Is your [ACTIVITY NAME] farm/business seasonal? In other words, are there some periods in a year that your farm/business earns more than others?'

YES, THIS ACTIVITY IS SEASONAL

NO, THIS ACTIVITY IS NOT SEASONAL

REFUSE TO ANSWER

1. If AR3 = 1We are interested in the type of seasonality of your **[ACTIVITY NAME]** farm/business. If your farm/business earns/earned some money during the whole year but the amount varies/varied a lot throughout the year I will select “high season/low season”, whereas if there are/were months where earnings are/were zero, I will select “active season/inactive season”.

Are there high and low seasons with more or less activity or do you have periods of relatively similar activity followed by inactive periods?

|  |  |
| --- | --- |
| 1 | High Season / Low Season |
| 2 | Active Season / Inactive Season |
| -888 | Refuse to Answer |

PROGRAMMING NOTE: Display Hint if Seasonal

1. Has this/your farm/business been temporarily shut down within the last 12 months?

HINT: Shut downs are closures during typically active periods. Do not include typical inactive seasons.

|  |  |
| --- | --- |
| 0 | NO |
| 1 | YES |
| -888 | Refuse to Answer |

If **AR5**=YES

1. How long was this farm/business shut down during the last 12 months?

|  |  |
| --- | --- |
|  | Weeks |
|  | Months |
| -888 | Refuse to Answer |

1. Do you have a partner(s) in the business? That is someone who owns it with you?

|  |  |
| --- | --- |
| 0 | NO |
| 1 | YES |
| -888 | Refuse to Answer |

If **SA1**=1

1. Are you the senior partner in the business? The senior partner is the person whose level of involvement (with respect to authority, responsibility, risks, and rewards) is greater than that of the other partners at your business.

|  |  |
| --- | --- |
| 0 | NO |
| 1 | YES |
| -555 | NO, NO SENIORITY IN PARTNERSHIP |
| -888 | Refuse to Answer |

1. Is **[ACTIVITY NAME]** farm/business a family operated farm/business?

|  |  |
| --- | --- |
| 0 | NO |
| 1 | YES |
| -888 | Refuse to Answer |

SA4.. DO NOT READ: Will the respondent answer when they started their [ACTIVITY NAME] farm/business?

|  |  |
| --- | --- |
| 1 | Yes |
| 0 | No |
| -888 | Refuse to answer |

1. When did you/your family start your **[ACTIVITY NAME]** farm/business?

PROGRAMMING NOTE: Must set up a Don’t Know/Refuse to answer variable in a field list with the calendar.

|  |  |
| --- | --- |
|  | Month |
|  | Year |

1. Does/did your business employ any employees who earn a wage/money or are paid in kind (with food or labor for instance)?

HINT: Do not include yourself or partners

|  |  |
| --- | --- |
| 0 | NO |
| 1 | YES |
| -888 | Refuse to Answer |

If **SA5**=YES: continue, otherwise skip to

If **SA5** = NO go to **SA7**

1. How many paid employees?

|  |  |
| --- | --- |
|  | Number of people |

If Family business/farm

1. Is any family member employed on the farm but not paid?

|  |  |
| --- | --- |
|  | Number of people |

**FINANCIAL MODULE**

1. Have you requested or applied for any loans in the last 12 months for your [ACTIVITY NAME] farm/business?

HINT: The purpose of the loan should at least in part be related to this business..

|  |  |
| --- | --- |
| 0 | NO |
| 1 | YES |
| -888 | Refuse to Answer |

If Loan

1. [SELECT MULTIPLE]Which types of loans did you receive in the last 12 months?

* Salary Loans
* Personal (non salary) loans
* Business Loans
* Did not Receive Loans in the Past 12 Months
* Refuse to Answer

SA9b. Please describe the purpose of the loan in approximately 5-10 words.

Have you applied for a loan within the last 12 months and been denied?

YES

NO

REFUSE TO ANSWER

**SEASONAL LEAD-IN QUESTIONS FOR THE SEASONAL CROPS MODULE**

1. Was your **[ACTIVITY NAME]** farm active during the **[SEASON]**?

|  |  |
| --- | --- |
| 0 | NO |
| 1 | YES |
| -888 | Refuse to Answer |

If SC1= Yes

1. How long was this season?

[If Season=Growing Season 1 or 2] HINT: The season began when you started incurring in expenses such as hiring labor to prepare the land, purchasing seeds, etc. and it ended when you finished harvesting, transporting, and storing the crops.

[If Season=Off Season] HINT: We are interested in the months that [FOOD PRODUCTION NON SEASONAL LIST] was active without seasonal crop activity. The total months in growing seasons in the last year was [GROWING SEASON MONTHS]. The maximum number of months for off season, the months during which no seasonal crops are grown, is [12 minus GROWING SEASON MONTHS], '.'

|  |  |
| --- | --- |
|  | MONTHS |
| -888 | Refuse to Answer |

**SEASONAL LEAD-IN QUESTIONS FOR THE SEASONAL NON-CROPS MODULE**

If AR5=1

1. How many months was this farm/business active in **[SEASON]** in the last 12 months?

|  |  |
| --- | --- |
|  | MONTHS |
| -888 | Refuse to Answer |

~~If AR5=1~~

1. ~~H~~~~ow many months was this farm/business active in the last~~ **~~[SEASON]~~**~~?~~

~~FO: If multiple [High Season/Low Season/Active Season]s in the last 12 months, ask for the most recently completed [High Season/Low Season/Active Season].~~

|  |  |
| --- | --- |
|  | ~~MONTHS~~ |
| ~~-888~~ | ~~Refuse to Answer~~ |

**COSTS**

Now I am going to ask you about your total expenses.

**TOTAL COSTS FOR THE SEASONAL CROPS MODULE**

If Season=Off Season

1. Can you provide me with an estimate of your **total** [business **expenses**/business **expenses** for your farm] during **an average month** in the **[SEASON]**? Please include only costs that you actually paid during an average month in **[SEASON]**.

HINT: Please exclude any salaries or dividends paid to yourself or partners.

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

If Season!=Off Season

1. Can you provide me with an estimate of your **total** [business **expenses**/business **expenses** for your farm] during the **[SEASON]**? Please include only costs that you actually paid during **[SEASON]**.

HINT: Please exclude any salaries or dividends paid to yourself or partners.

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

**TOTAL COSTS FOR THE SEASONAL NON-CROPS MODULE**

1. Can you provide me with an estimate of your **total** [business **expenses**/business **expenses** for your farm] during **an average month** in the last completed **[SEASON]**?

HINT: Please exclude any salaries or dividends paid to yourself or partners.

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

1. ~~How much were the~~ **~~total expenses~~** ~~for your farm/business in the last completed~~ **~~[SEASON]~~**~~? Please include only costs that you actually paid during~~ **~~[SEASON]~~**~~.~~

~~HINT: Please exclude any salaries or dividends paid to yourself or partners.~~

|  |  |
| --- | --- |
|  | ~~USH~~ |
| ~~-888~~ | ~~Refuse to Answer~~ |

**TOTAL COSTS FOR THE NON-SEASONAL MODULE**

TIME PERIOD:

If CURRENT ACTIVITY= “in the last month”

If PREVIOUS ACTIVITY= “an average month”

1. Can you provide me with an estimate of your **total** [business **expenses**/business **expenses** for your farm] in **[TIME PERIOD]**? Please include only costs that you actually paid during **[TIME PERIOD]**?

HINT: Please exclude any salaries or dividends paid to yourself or partners.

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

If CURRENT ACTIVITY and SN1 == 0

1. Would you say that this is/was the usual amount?

|  |  |
| --- | --- |
| 1 | Yes |
| 0 | No |

If **SN2**=No continue otherwise **SN4**

1. What are/were the usual **total expenses** in **an average month**?

|  |  |
| --- | --- |
|  | USH |
| 888 | Refuse to answer |

If SECTOR NOT=Food Production

Now I am going to ask about recurring expenses related to the operation of your business. For example, you may require workers, utilities, or supplies. You may also rent a space to operate your business.

If SECTOR=Food Production

Now I am going to ask about recurring expenses related to the operation of your farm. For example, you may require workers, seeds, or fertilizers. You may also rent land or equipment.

**[READ:]** Thank you for sharing information about your **[ACTIVITY NAME]** farmduring the previous two crop seasons. These next few questions, I would like to ask you about the **[FOOD PRODUCTION TYPE]** part of your farm during off season, that is when seasonal crops were not being grown. For these questions, we are interested in your **[FOOD PRODUCTION TYPE]** activities.

PROGRAMMING NOTE: If Crops but no other Food Production, ask SEASON1 and SEASON2. If Crops AND other Food Production (such as livestock/bee keeping), ask SEASON1, SEASON2, and OFF SEASON (period without seasonal crops but other food production activities like livestock or bananas). Need local help with wording for OFF SEASON.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Do/did you pay […] for the operation of this business during **[SEASON]**?  0 No  1 Yes  PROGRAMMING NOTE: Ask this per season for Seasonal | How often do/did you pay for […]  1 Per Day  2 Per Week  3 Per Month  4 Every other month  5 Every 6 months  6 Per Year  7 Per Season  PROGRAMMING NOTE: Per season answer will be available for CROPS | [If Non Seasonal]  What is approximately the amount you last paid for […] per [**SA13**] ?  USH | What is approximately the amount you usually pay/paid for […] per [**SA13**] during **[THE LAST]** **[SEASON]**?    USH |
| 1. Owner Salary | Wording: Do/did you pay yourself a salary [during SEASON] |  | SKIP seasonal  HINT: Include your own salary only. | HINT: Include your own salary only. |
| 1. Partner Salaries | Wording: Do/did you pay partners a salary [during SEASON]  If partners exist in previous question |  | SKIP seasonal  HINT: This is the total paid to ALL partners not per partner. | HINT: This is the total paid to ALL partners not per partner. |
| 1. Pay for Workers | If non-seasonal, don’t ask if they already said they have paid employees. |  | SKIP seasonal  HINT: This is the total paid to ALL workers not per worker.  HINT: Please exclude yourself and partners. | HINT: This is the total paid to ALL workers not per worker.  HINT: Please exclude yourself and partners. |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  | Constrain to per season for Seasonal Ag |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| All Non-Labor Costs  HINT: Rent, Seeds/Seedlings, Fertilizers, Pesticides, Irrigation, Utilities, Transport, etc.  HINT: Rent, Animal feed, Animal medicine, Young animals to be raised, Utilities, Transport, etc.  HINT: Rent, Petrol, Nets, Lights, Ice chests, etc.  HINT: Rent, Bee-keeping suits, bees, smoke cans, utilities, transport etc.  HINT: Rent, electricity, water, gas, airtime, internet, transport, and raw materials to make items or manufactured items that you sell. |  |  |  |  |

TIME PERIOD:

If SEASONAL NON CROPS= “the last completed [SEASON]”

If SEASONAL CROPS AND NOT OFF SEASON=”the first growing season of 2021” OR “the second growing season of 2020”

If NON-SEASONAL OR OFF SEASON= “the last month”

1. Based on the information you shared, your total costs for your [ACTIVITY NAME] farm/business during [TIME PERIOD] were [COSTS TABLE TOTAL]. Whereas your estimate of your total costs during [TIME PERIOD] were [COSTS ESTIMATE].

Would you say that [COSTS TABLE TOTAL] or [COSTS ESTIMATE] is a better estimate of your costs, or would you like to give a final estimate for your expenses now?

HINT: These totals do not include owner or partner salaries or dividends but they do include worker pay.

COSTS TABLE TOTAL

COSTS ESTIMATE

OTHER, SPECIFY

**SELF-EMPLOYMENT SEASONAL CROPS MODULE**

*SEASON REPEAT (first growing season of 2021, second growing season of 2020)*

The next questions are for the **[SEASON]:**

*REVENUES AND PROFITS*

If Season=Off Season:

1. I would like you to think about the sales of all products and services of your farm/business during an average month in **[SEASON]**. What were the sales from your farm/business?

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

If Season!=Off Season

1. I would like you to think about the sales of all products and services of your farm/business during **[SEASON]**. What were the sales from your farm/business?

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

If Season=Off Season:

**SC7.** I would like you to think about the net profit during an average month in **[SEASON]**. We define net profit as the income you the farm/business earned from the sales of products and services **after** you deducted all expenses except payments to you or other farm/business owners. What were the net profits during an average month in [**SEASON**] from your farm/business? Remember that profit can be negative if in an average month in [SEASON] your revenues are less than your costs.

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

If Season!=Off Season

1. I would like you to think about the net profit during the **[SEASON]**. We define net profit as the income you the farm/business earned from the sales of products and services **after** you deducted all expenses except payments to you or other farm/business owners. What were the net profits during the whole [**SEASON**] from your farm/business? Remember that profit can be negative if in [SEASON] your revenues are less than your costs.

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

If Profits > 0

1. Of these business profits during [TIME PERIOD], how much did you keep and how much did you give to others (partners/family members as well as landlords as a part of share cropping)?

FO: Record the amount they kept for themselves.

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

**SELF-EMPLOYMENT SEASONAL NOT CROPS MODULE**

*SEASON REPEAT (high season and low season OR active season)*

*REVENUES AND PROFITS*

1. I would like you to think about the sales of all products and services of your farm/business in **an average month** during the last completed **[SEASON]**. What were the sales of all product and services from your farm/business?

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

**SS6.**

1. I would like you to think about the net profit in **an average month** during the last completed **[SEASON]**. We define net profit as the income the farm/business earned from the sales of products and services **after** you deducted all expenses except payments to you or other farm/business owners. What were the net profits in an average month from your farm/business? Remember that profit can be negative if in an average month in [SEASON] your revenues are less than your costs.

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

**SS8.**

If Profits > 0 and Partners=1

1. Of these profits, how much did you keep and how much did you give to partners/family members?

FO: Record the amount they kept for themselves.

|  |  |
| --- | --- |
|  | USH |
| -999 | Don’t Know |
| -888 | Refuse to Answer |

**NOT SEASONAL MODULE**

*REVENUES AND PROFITS*

TIME PERIOD:

If CURRENT ACTIVITY= “in the last month”

If PREVIOUS ACTIVITY= “an average month”

1. I would like you to think about the sales of all products and services of your farm/business in [**TIME PERIOD**]. What were the sales of all product and services from your farm/business?

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

If CURRENT ACTIVITY and NOT Seasonal and SN4=0

1. Would you say that this is/was the usual amount?

|  |  |  |
| --- | --- | --- |
| 1 | Yes |  |
| 0 | No |  |

If **SN5**=No continue otherwise **SN7**

1. What are/were the usual sales **in an average month**?

|  |  |
| --- | --- |
|  | USH |
| 888 | Refuse to answer |

**SN7.** I would like you to think about the net profit of [**TIME PERIOD**]. We define net profit as the income you earned from the sales of products and services **after** you deducted all expenses except payments to you or other farm/business owners. What were the net profits in [TIME PERIOD] from your farm/business? Remember that profit can be negative if your revenues are less than your costs.

If CURRENT ACTIVITY and SN7 = 0

1. Would you say that this is/was the usual amount?

|  |  |
| --- | --- |
| 1 | Yes |
| 0 | No |
| -888 | Refuse to Answer |

If **SN8**=No continue otherwise **next module**

1. What are/were the usual net profits in **an average month**?

|  |  |
| --- | --- |
|  | USH |
| 888 | Refuse to answer |

If Profits > 0 and Partners=yes

1. Of these business profits, how much did you keep and how much did you give to partners/family members?

FO: Record the amount they kept for themselves.

|  |  |
| --- | --- |
|  | USH |
| -888 | Refuse to Answer |

**MEASURES OF CAPITAL**

Think about the time this farm/business has been in operation. Since starting the farm/business, I would like to know if you have made any significant investments in the farm/business. Examples of significant investments are the purchase of machinery, computing equipment, a vehicle, or other goods which purpose is to make more money.

In this section I want to know about equipment used in the operation of this farm/business.

If Farm

Examples of equipment are tractors, ploughs, and vehicles.

If Non-farm

Examples of equipment are machinery, tools, computers, and vehicles.

Do you own equipment, land or buildings used in the operation of this business?

|  |  |  |  |
| --- | --- | --- | --- |
|  | 1. Equipment | 1. Land | 1. Buildings |
| 0 No |  |  |  |
| 1 Yes |  |  |  |
| 888 Refuse to answer |  |  |  |

1. What is the resale value of the equipment, land and building today? That is, if you were to sell them today, how much would you get?

|  |  |
| --- | --- |
| USH\_\_\_\_\_ | 1. Equipment   -999 Don’t know  -888 Refuse to answer |
| USH\_\_\_\_\_ | 1. Land and Buildings   -999 Don’t know  -888 Refuse to answer |

**BUSINESS FORMALITY**

Many entrepreneurs face bureaucratic and legal hurdles when they wish to incorporate and register a new firm in Uganda, because it involves costs and time.

1. Have you legally registered your business with the relevant authorities?

|  |  |
| --- | --- |
| 0 | NO |
| 1 | YES |
| 777 | Don’t Know |
| 888 | Refused to answer |

1. Are you required to pay business taxes?

|  |  |  |
| --- | --- | --- |
| 0 |  | NO |
| 1 |  | YES |
| 777 |  | Don’t Know |
| 888 |  | Refused to answer |

**MANAGEMENT --**

**[DISPLAY IF:**

**SELF-EMPLOYED MODULES WERE DISPLAYED**

**OR**

**BASED ON WA4]**

If one management job/business

**[READ:]** Now I am going to ask you about various job responsibilities and business practices. Don’t worry if you don’t know the answers or do not engage in the activities that I ask about. I am asking you about the work/business experience from [JOB/BUSINESS NAME].

If multiple management jobs/businesses

**[READ:]** Now I am going to ask you about various job responsibilities and business practices. Don’t worry if you don’t know the answers or do not engage in the activities that I ask about. I am asking you about the work/business experience across the following jobs and businesses that we have discussed. [JOB/BUSINESS LIST]

PROGRAMMING NOTE: M1 will be a table with Yes/No questions so that each are definitely read to the respondent.

M1. In this job or business/these jobs or business which of the following activities/tasks have you done:

Visited any of your competitor’s businesses to see their products and proactively advertised your business (cards, flyers, social media, television or radio)

Negotiated with a supplier for a lower price on raw materials or goods purchased

Compared the prices or quality offered by alternate suppliers to those of your current supplier?

Kept business records (payroll, expenses, purchases, loans, inventory)

Made financial decisions?

Made hiring/firing decisions?

Used mobile money to receive payment for goods and services?

Used mobile money to pay your employees?

Used mobile money for other business purposes?

If M1d: Yes

M2: Do/did you keep your financial records in an electronic format?

1. No
2. Yes

M3: Now, excluding financial record keeping, do/did you use any software/app to run your business(es)?

HINT: (such as marketing, communication with clients, product creation, HR, inventory)

1. No
2. Yes

**TRACKING**

Intro note: I will now ask you a few questions to help us update your contact information in our database so that we can more easily reach you when it is time to conduct future surveys.

|  |  |  |  |
| --- | --- | --- | --- |
| phone1 | 1. What is the BEST personal phone number where we can reach you?   FO: Please enter phone number in the form 256712345678 or 712345678. Then please read the phone number back to the respondent to verify that you have recorded it correctly. |  | Defaults to currently called number  PROGRAMMING NOTE: Could be a list with best three phones as well as currently called phone number if different and also Other, Specify. |
| phone2 | 1. Do you have a second PERSONAL phone number where we can reach you?   FO: Please enter phone number in the form 256712345678 or 712345678. Then please read the phone number back to the respondent to verify that you have recorded it correctly. Record -888 if no phone number. |  | PROGRAMMING NOTE: Could be a list with best three phones as well as currently called phone number if different and also Other, Specify—with T3 removed from list of course. |
| Email | 1. Thank you for sharing your contact details. If you have an active email address, could you share the email address that you check most often? |  |  |
| Socialmedia | 1. Which of the following social media do you use most frequently?   *FO: Select “No social media accounts” if the responded does not have social media. Please read out the answer options* | -666 Other, specify 0 No social media accounts 1 Facebook 2 Instagram 3 Twitter  4 WhatsApp |  |
| Facebook | 1. Can you share with me your name on Facebook? *FO: Verify the name you have recorded with the respondent (spell out the name) RELEVANT IF socialmedia=1* |  |  |
| Instagram | 1. Can you share with me your Instagram name? *FO: Verify the name you have recorded with the respondent (spell out the name)* RELEVANT IF socialmedia=2 |  |  |
| Twitter | 1. Can you share with me your Twitter handle? *FO: Verify the name you have recorded with the respondent (spell out the name)* RELEVANT IF socialmedia=3 |  |  |
| Whatsapp | 1. Can you share with me your WhatsApp phone number? *FO: Verify the phone number you have recorded with the respondent (read back the digits)* RELEVANT IF socialmedia=4 |  | PROGRAMMING NOTE: Will default to Best Phone Number |
| Othermedia | 1. Can you share with me your name on {socialmedia\_oth}? *FO: Verify the name you have recorded with the respondent (spell out the name)* RELEVANT IF socialmedia=-666 |  |  |

**CURRENT LOCATION**

|  |  |  |  |
| --- | --- | --- | --- |
|  | 1. Which district do you currently live in? |  |  |
|  | 1. Which subcounty do you currently live in? |  |  |
|  | 1. Which parish/ward do you currently live in? |  |  |
|  | 1. What town do you live in? |  |  |
|  | 1. What area do you live in? |  |  |
|  | 1. Can you give me the directions to the house/place you are living, starting from a well known landmark? |  |  |

**LOCATION EARLY 2022**

|  |  |  |  |
| --- | --- | --- | --- |
|  | 1. Now I want to ask you about the location you expect to be in early 2022. Do you think you will be living in the same house that we just discussed? |  |  |
|  | 1. If [SAME HOUSE=NO] In early 2022, do you expect to live in the same town, [TOWN], where you live now? |  |  |
|  | 1. If [SAME TOWN=YES] In early 2022, do you expect to live in the same area, [AREA], where you live now? |  |  |
|  | 1. ~~[If SAME AREA=No] What area do you expect to live in?~~ |  |  |
|  | 1. ~~[If SAME TOWN=YES] Can you give me the directions to the house/place you expect to live, starting from a "central point"?~~ |  | PROGRAMMING NOTE: The FO will see the default if SAME TOWN=YES and AREA=YES and confirm/change as needed. |
| If [SAME HOUSE]!=YES and [SAME TOWN]!=YES | | | |
| cur\_district\_oth or if blank then cur\_district | 1. In which district are you likely to be living in early 2022? |  |  |
| cur\_sc\_nodis or if blank cur\_sc\_oth or if blank then cur\_sc | 1. In which subcounty/division are you likely to be living in early 2022? |  |  |
| cur\_parish | 1. In which parish/ward are you likely to be living in early 2022? |  |  |
|  | 1. In which town / village are you likely to be living in early 2022? |  |  |
|  | 1. In which specific area / street are you likely to be living in early 2022? |  |  |
|  | 1. Can you give me the directions to the house/place you will be living, starting from a well known landmark? |  |  |

**FRIEND/FAMILY/COWORKER PHONE**

|  |  |  |  |
| --- | --- | --- | --- |
|  | 1. Next year, when it is time to carry out our study, would it be ok for us to try to contact you through a family member or friend, if we cannot reach you directly? |  |  |
|  | 1. What is the name of this person that we can reach out to? |  |  |
|  | 1. Family/Friend BEST phone number: |  |  |
|  | 1. Would it be ok for us to try to contact you through a supervisor, colleague, or classmate, if we cannot reach you directly? | YES  NO  NO JOB OR SCHOOL |  |
|  | 1. What is the name of this person that we can reach out to? |  |  |
|  | 1. Supervisor/Colleague/Classmate BEST phone number: |  |  |
|  |  |  |  |
|  | **R1.** Please tell me the phone number through which you prefer to receive the UGX 5000 for today’s conversation. This number should be registered to receive mobile money |  |  |
|  | **R2.** Please confirm the name on the account for the phone through which you prefer to receive the money. |  |  |

**If directions < 30 characters WARNING:**

~~Your directions to the household are quite short either in current directions or in 2021. Please swipe backwards to the previous screen and click on the link to review these.~~

Are you sure that you gave adequate information to help us find the respondent in current directions (and in directions for early 2022 if those were filled out)? If not, please swipe backwards to the previous screen and click on the link to review these.

**If directions empty STOP SUBMISSION:**

Your directions to the household are missing either in current directions or in directions for early 2022. Please swipe backwards to the previous screen and click on the link to review these.

PROGRAMMING NOTE:

Changed dur\_consented\_end to pull the last time the respondent portion of the survey finished and if that is null, then the first time the respondent portion ended. This should help mitigate the weird case where dur\_consented\_end was null thus making duration 0.