Innovations for Poverty Action- IPA

Institutional Review Board

REQUEST FOR DETERMINATION OF EXEMPT STATUS

***Note: This form does not substitute for an original IRB application.   
Please use this form to complement your original IRB application and file it separately via*** [***poverty-action.org/irb***](http://www.poverty-action.org/irb) ***(Exemption Form >> 2) Submit Form).***

Do **not** file this application via [humansubjects@poverty-action.org](mailto:humansubjects@poverty-action.org).

**Date of Application:** Click here to enter a date.

**Title of Study:** Click here to enter text.

**Former or alternate titles if known:** Click here to enter text.

**Study Manager name/email:** Click here to enter text.

**Number of research sites applied for at this time:** Click here to enter text.

**Country(ies) & Location(s):** Click here to enter text.

**Anticipated Start Date & End Date (be specific about date you plan to begin fielding study):**

**Start:** Click here to enter a date.

**End:** Click here to enter a date.

**Principal Investigator(s) filing this application (name, email):**

# INSTRUCTIONS TO INVESTIGATOR

At the discretion of the IPA IRB, certain research activities may be exempt from review. Research involving human subjects may not start until the HSC has granted either IRB approval or has notified the investigator that the project qualifies for exemption from further review. The types of research which may be exempt are described in the attached list. It is important to note that studies involving minors cannot be exempt under category 2 [45 CFR 46.101(b)(2)] below.

If you believe that your research constitutes one of the types of research which may be exempt from review, please complement your original IRB application with the submission of this form. Please indicate which exemption category / categories may apply to your project by marking the respective checkbox / checkboxes below.

EXEMPTION FROM REVIEW

Certain research activities may be exempt from review, if approved by an authorized Committee member and confirmed in writing to the Investigator. Research may be exempt from review when the only involvement of human subjects in the research falls into one of the following categories:

Research conducted in established or commonly accepted educational settings, involving normal educational practices such as (i) research on regular and special education instructional strategies, or (ii) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods. 45 CFR 46.101(b)(1)

Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior unless: (i) information obtained is recorded in such a manner that human subjects can be identified, directly or through identifiers linked to the subjects; and (ii) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation. 45 CFR 46.101(b)(2)

Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior that is not otherwise exempt if: (i) The human subjects are elected or appointed public officials or candidates for public office; or (ii) federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter. 45 CFR 46.101(b)(3)

Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified, directly or through identifiers linked to the subjects. 45 CFR 46.101(b)(4)

Research and demonstration projects which are conducted by or subject to the approval of department or agency heads, and which are designed to study, evaluate, or otherwise examine: (i) Public benefit or service programs; (ii) procedures for obtaining benefits or services under those programs; (iii) possible changes in or alternatives to those programs or procedures; or (iv) possible changes in methods or levels of payment for benefits or services under those programs. 45 CFR 46.101(b)(5)

Taste and food quality evaluation and consumer acceptance studies, (i) if wholesome foods without additives are consumed or (ii) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture. 45 CFR 46.101(b)(6)

**Investigator's name, typed**

Click here to enter text.

**Investigator's signature**

**(or attach email with PI’s acknowledgement of this application)**

**Date** Click here to enter a date. **Signature**